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Attorneys at Law

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Washington, D.C. 20004-2128  
(202) 585-8000

Fax: (202) 585-8080

**PRIVILEGE AND CONFIDENTIALITY NOTICE**

The information in this fax is intended for the named recipients only. It contains privileged and confidential matter. If you have received this fax in error, please notify us immediately by a collect telephone call to (202) 585-8000 and return the original to the sender by mail. We will reimburse you for postage. Do not disclose the contents to anyone. Thank you.

**FAX****Date:** June 23, 2004**Pages (including cover):** 10**To:** **EXR. BROOK KEBEDE**  
**Art Unit 2823****Fax:** 703-872-9306**From:** Jeffrey L. Costellia #32,483**Docket No.** 740756-2394**Message:** **The following documents are being presented for facsimile filing in the United States Patent and Trademark Office:**

1. Transmittal
2. Request for Acknowledgment of Information Disclosure Statements

In re Patent Application of

Inventor(s): Hongyong ZHANG *et al.*

Serial No.: 09/993,492

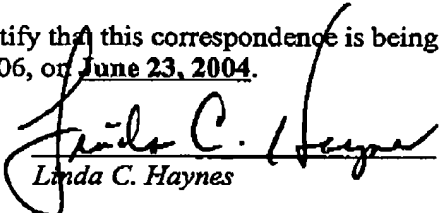
Filed: November 27, 2001

For: METHOD OF MANUFACTURING A THIN FILM TRANSISTOR  
DEVICE (AS AMENDED) MODULE FOR AN AUTOMOBILE**Due Date:** N/A**CERTIFICATE OF TRANSMISSION [37 CFR 1.8(a)]**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO at  
703-872-9306, on June 23, 2004.

Signature:

Name:

  
Linda C. Haynes

cc: File  
Docketing Department

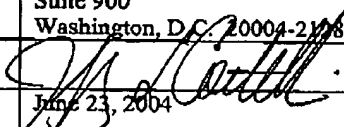
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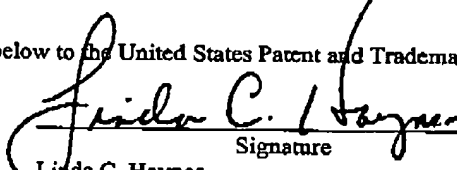
JUN 23 2004

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|   |  |                        |                       |
|---|--|------------------------|-----------------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) |  | Application Number     | 09/993,492            |
|   |  | Filing Date            | November 27, 2001     |
|   |  | First Named Inventor   | Hongyong ZHANG et al. |
|   |  | Group Art Unit         | 2823                  |
|   |  | Examiner Name          | B. Kebede             |
| Total Number of Pages in This Submission  |  | Attorney Docket Number | 740756-2394           |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Declaration and Power of Attorney<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><input checked="" type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) _____ | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Application Data Sheet<br><input type="checkbox"/> Request for Corrected Filing Receipt with Enclosures<br><input type="checkbox"/> A self-addressed prepaid postcard for acknowledging receipt<br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):<br><br><b><u>Request for Consideration of Information Disclosure Statement</u></b> |
| Remarks  |   | <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 19-2380 for the above identified docket number.   |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |   |
|--|---|
| Firm or Individual name                    | Jeffrey L. Costellia - Reg. No. 35,483<br>Nixon Peabody LLP<br>401 9 <sup>th</sup> Street, N.W.<br>Suite 900<br>Washington, D.C. 20004-2118 |
| Signature                                  |    |
| Date                                       | June 23, 2004   |

| CERTIFICATE OF FACSIMILE TRANSMISSION [37 CFR 1.8(a)]   |   |
|---|---|
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| <input checked="" type="checkbox"/> transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) 872-9306 |   |
| June 23, 2004<br>Date   | <br>Signature<br>Linda C. Haynes<br>Typed or printed name |

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JUN 23 2004

Docket: 740756-2394

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of )  
Hongyong ZHANG et al. ) Group Art Unit: 2823  
Serial No. 09/993,492 ) Examiner: Brook Kebede  
Filed: November 27, 2001 ) Confirmation No. 1546  
For: METHOD OF MANUFACTURING A THIN )  
FILM TRANSISTOR DEVICE (AS AMENDED) )

**REQUEST FOR ACKNOWLEDGMENT OF  
INFORMATION DISCLOSURE STATEMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

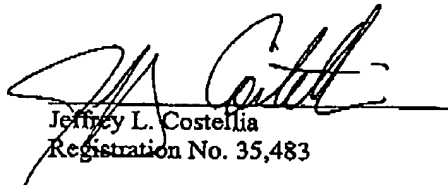
Sir:

An Information Disclosure Statement with Form PTO-1449 was filed in the above-identified patent application on December 12, 2003 and April 21, 2004. However, Applicants have not yet received back from the Examiner a copy of the Form PTO-1449s initialed to acknowledge the fact that the Examiner has considered the cited disclosed information.

The Examiner is respectfully requested to initial and return to the undersigned a copy of the subject Form PTO-1449s.

Should there be any questions concerning this communication, please telephone the undersigned at the number set forth below.

Respectfully submitted,



Jeffrey L. Costellia  
Registration No. 35,483

NIXON PEABODY LLP  
401 9<sup>th</sup> Street, N.W., Suite 900  
Washington D.C. 20004-2128  
(202) 585-8000  
(202) 585-8080 (FAX)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

This will acknowledge receipt of the following:

1. Information Disclosure Statement
2. PTO-1449 with 2 references
3. Permission to charge Deposit Account # 19-2380 in the amount of \$180.00 (IDS Fee)

In re Patent Application of:

Inventor(s): Hongyong ZHANG et al.

Serial No.: 09/993,492

Filed: November 27, 2001

Title: SEMICONDUCTOR DEVICE AND METHOD FOR MANUFACTURING THE SAME



Due date: May 24, 2004

Docket No. 740756-2394

[JLC/plp] 8683

Date: 04/21/2004

**HAND CARRY  
PLEASE DATE STAMP AND RETURN**

NVA294087.1

- 1 -

Application No. 09/993,492  
Docket No. 740756-2394

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of:

Hongyong ZHANG et al.

Serial No. 09/993,492

Filed: November 27, 2001

For: SEMICONDUCTOR DEVICE AND METHOD FOR  
MANUFACTURING THE SAME

Examiner: B. Kebede

Group Art Unit: 2823

INFORMATION DISCLOSURE STATEMENTCommissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98. Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

The Commissioner is authorized to charge the amount of \$180.00 to Deposit Account No. 19-2380 (740756-2394) in compliance with the provisions of 37 C.F.R. § 1.97(c)

The undersigned certifies that either (1) each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in connection with a counterpart foreign application not more than three (3) months prior to the filing of this statement, or (2) no item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application and to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Applicants wish to note that JP-04-195123 and JP-04-290467, cited on the attached PTO-1449, were previously cited in a counterpart Japanese patent application by the Japanese Patent Office on February 24, 2004.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initial a copy of this form be returned to the undersigned.

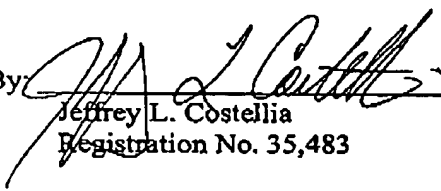
- 2 -

Application No. 09/993,492  
Docket No. 740756-2394

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By:

  
Jeffrey L. Costellia  
Registration No. 35,483

NIXON PEABODY LLP  
Suite 900  
401 9<sup>th</sup> Street, N.W.  
Washington, DC 20004-2128

Telephone: (202) 585-8000

|  |   |                          |                       |
|--|---|--------------------------|-----------------------|
| Substitute for form 1449A/PTO<br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(file as many sheets as necessary)</i> |   | <i>Complete if Known</i> |                       |
|  |   | Application Number       | 09/993,492            |
|  |   | Filing Date              | November 27, 2001     |
|  |   | First Named Inventor     | Hongyong ZHANG et al. |
|  |   | Art Unit                 | 2823                  |
|  |   | Examiner Name            | B. Kebede             |
|  |   | Attorney Docket Number   | 740756-2394           |
| Sheet  | 1 | of                       | 1                     |

| U.S. PATENT DOCUMENTS          |                       |  |  |                                |  |   |
|--------------------------------|-----------------------|--|--|--------------------------------|--|---|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | U.S. Patent Document                       |  | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                |                       | Number - Kind Code <sup>2</sup> (if known) |  |                                |  |   |
|                                |                       | US-  |  |                                |  |   |
|                                |                       | US-  |  |                                |  |   |
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|                                |                       | US-  |  |                                |  |   |
|                                |                       | US-  |  |                                |  |   |
|                                |                       | US-  |  |                                |  |   |

| FOREIGN PATENT DOCUMENTS       |                       |   |                                   |                                |  |   |                |
|--------------------------------|-----------------------|---|-----------------------------------|--------------------------------|--|---|----------------|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document                       |                                   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear | T <sup>3</sup> |
|                                |                       | Country Code <sup>4</sup> Number <sup>4</sup> | Kind Code <sup>2</sup> (if known) |                                |  |   |                |
|                                |                       | JP04-195123                                   |                                   | 07/15/1992                     | Yamashita et al.                                   |   | AB             |
|                                |                       | JP04-290467                                   |                                   | 10/15/1992                     | Matsushina et al.                                  |   | AB             |
|                                |                       |   |                                   |                                |  |   |                |
|                                |                       |   |                                   |                                |  |   |                |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---|-----------------------|---|----------------|
| Examiner Initials <sup>1</sup>                    | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>3</sup> |
|   |                       |   |                |
|   |                       |   |                |
|   |                       |   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

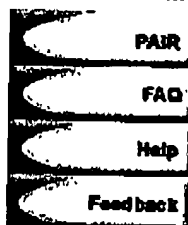
<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.



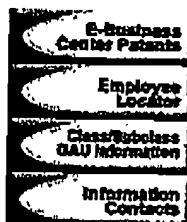
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## PATENT APPLICATION INFORMATION RETRIEVAL



### Other Links



**NEW** Printer Friendly Version

| Search results for application number:09/993,492 |  |                            |   |
|--|--|----------------------------|---|
| Application Number:                              | 09/993,492   | Customer Number:           | 22204   |
| Filing or 371(c) Date:                           | 11-27-2001   | Status:                    | Notice of Allowance Mailed<br>Application Received in Office Publications |
| Application Type:                                | Utility  | Status Date:               | 05-18-2004  |
| Examiner Name:                                   | KEBEDE, BROOK                                      | Location:                  | ELECTRONIC  |
| Group Art Unit:                                  | 2823   | Location Date:             | -   |
| Confirmation Number:                             | 1546   | Earliest Publication No:   | US 2002-0037609 A1  |
| Attorney Docket Number:                          | 740756-2394  | Earliest Publication Date: | 03-28-2002  |
| Class/ Sub-Class:                                | 438/166  | Patent Number:             | -   |
| First Named Inventor:                            | Hongyong Zhang,<br>Kanagawa, (JP)                  | Issue Date of Patent:      | -   |
| Title Of Invention:                              | METHOD OF MANUFACTURING A THIN FILM TRANSISTOR DEV |                            |   |

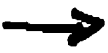

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Patent Term Adjustment History  
Publication Review  
Published Documents

**Search**

| File History |  |
|--------------|--|
| Date         | Contents Description   |
| 05-18-2004   | Receipt into Pubs  |
| 05-18-2004   | Workflow - File Sent to Contractor                                 |
| 04-30-2004   | Mail Corrected Notice of Allowance (Response period NOT restarted) |
| 04-29-2004   | Corrected Notice of Allowance                                      |
| 04-30-2004   | Mail Notice of Allowance   |
| 04-30-2004   | Mail Notification of Terminal Disclaimer - Accepted                |
| 04-29-2004   | Issue Revision Completed   |
| 04-29-2004   | Notice of Allowance Data Verification Completed                    |
| 04-29-2004   | Case Docketed to Examiner in GAU                                   |



|            |  |
|------------|--|
| 04-29-2004 | Notice of Allowability                         |
| 04-19-2004 | Notification of Terminal Disclaimer - Accepted |
| 04-12-2004 | Terminal Disclaimer Filed                      |
| 04-15-2004 | IFW TSS Processing by Tech Center Complete     |
| 04-15-2004 | Date Forwarded to Examiner                     |
| 04-12-2004 | Amendment after Final Rejection                |
| 04-12-2004 | Workflow incoming amendment IFW                |
| 12-12-2003 | Information Disclosure Statement (IDS) Filed   |
| 11-26-2003 | Reference capture on IDS                       |
| 01-12-2004 | Mail Final Rejection (PTOL - 326)              |
| 01-09-2004 | Final Rejection                                |
| 12-04-2003 | Reference capture on IDS                       |
| 10-29-2003 | Date Forwarded to Examiner                     |
| 10-08-2003 | Response after Non-Final Action                |
| 07-21-2003 | Information Disclosure Statement (IDS) Filed   |
| 07-15-2003 | Mail Non-Final Rejection                       |
| 07-10-2003 | Non-Final Rejection                            |
| 12-12-2002 | Case Docketed to Examiner in GAU               |
| 05-07-2002 | Information Disclosure Statement (IDS) Filed   |
| 04-30-2002 | Case Docketed to Examiner in GAU               |
| 02-11-2002 | Information Disclosure Statement (IDS) Filed   |
| 11-27-2001 | Information Disclosure Statement (IDS) Filed   |
| 01-16-2002 | Case Docketed to Examiner in GAU               |
| 12-17-2001 | Application Dispatched from OIPE               |
| 12-14-2001 | Correspondence Address Change                  |
| 12-10-2001 | IFW Scan & PACR Auto Security Review           |
| 12-04-2001 | IFW Scan & PACR Auto Security Review           |
| 11-27-2001 | Initial Exam Team nn                           |

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Docket No. 740756-2394

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Patent Application of:

Hongyong ZHANG

)

) Group Art Unit: 2823

JUN 23 2004

Serial No. 09/993,492

) Examiner: Brook Kebede

Filed: November 27, 2001

)

For: METHOD OF MANUFACTURING A  
THIN FILM TRANSISTOR DEVICE  
(AS AMENDED)

)

) Confirmation No. 1546

)

OFFICIAL

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENTCommissioner for Patents and Trademarks  
Washington, D.C. 20231

Sir:


In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the reference listed on the attached Form PTO-1449 be made of record in the above-identified application. A copy of the reference is submitted herewith in accordance with 37 C.F.R. 1.98(a).

JP 61-234027 was previously filed with an English abstract in the Information Disclosure Statement dated November 27, 2001. Applicants submit herewith a full English translation of this reference for the Examiner's consideration. The Examiner is respectfully reminded that the initial submission of this reference was certified in accordance with 37 C.F.R. § 1.97(e), therefore no fee is required.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380 (740756-2394).

Respectfully submitted,

NIXON PEABODY LLP

  
\_\_\_\_\_  
Jeffrey L. Costellia  
Registration No. 35,483NIXON PEABODY LLP  
401 9<sup>th</sup> Street, N.W. Suite 900  
Washington, D.C. 20004

|   |   |    |   |                          |                   |
|---|---|----|---|--------------------------|-------------------|
| Substitute for form 1449A/PTO<br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><i>(use as many sheets as necessary)</i> |   |    |   | <b>Complete if Known</b> |                   |
|   |   |    |   | Application Number       | 09/993,492        |
|   |   |    |   | Filing Date              | November 27, 2001 |
|   |   |    |   | First Named Inventor     | Hongyong Zhang    |
|   |   |    |   | Art Unit                 | 2823              |
|   |   |    |   | Examiner Name            | Brook Kebede      |
| Sheet   | 1 | of | 1 | Attorney Docket Number   | 740756-2394       |

| U.S. PATENT DOCUMENTS          |                       |  |                                |  |   |
|--------------------------------|-----------------------|--|--------------------------------|--|---|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | U.S. Patent Document                       | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|                                |                       | Number - Kind Code <sup>2</sup> (if known) |                                |  |   |
|                                |                       | US-  |                                |  |   |
|                                |                       | US-  |                                |  |   |
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|                                |                       | US-  |                                |  |   |
|                                |                       | US-  |                                |  |   |
|                                |                       | US-  |                                |  |   |

| FOREIGN PATENT DOCUMENTS       |                       |   |                                |  |  |                  |
|--------------------------------|-----------------------|---|--------------------------------|--|--|------------------|
| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Foreign Patent Document   | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant Figures<br>Appear | T <sup>3</sup>   |
|                                |                       | Country Code <sup>4</sup> Number <sup>4</sup> Kind Code <sup>2</sup> (if known) |                                |  |  |                  |
|                                |                       | JP 61-234027  | 10-18-1986                     | Suguro   |  | Full Translation |
|                                |                       |   |                                |  |  |                  |
|                                |                       |   |                                |  |  |                  |
|                                |                       |   |                                |  |  |                  |
|                                |                       |   |                                |  |  |                  |

| OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS |                       |   |                |
|---|-----------------------|---|----------------|
| Examiner Initials <sup>1</sup>                    | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>3</sup> |
|   |                       |   |                |
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|   |                       |   |                |

|                    |  |                 |  |
|--------------------|--|-----------------|--|
| Examiner Signature |  | Date Considered |  |
|--------------------|--|-----------------|--|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.